

RISK MATRIX - Scrap Metal Dealers Act 2013						
Issue/Risk	Consequences if allowed to happen	Likelihood	Impact	Mitigation	Mitigated Likelihood	Mitigated Impact
The time line between the issue of the Guidance and implementation of the Act is very tight. Licence fees have to be set by the LA as soon as possible to allow the existing traders to apply by 15 October.	The time scale to existing traders allowing them to apply in the transition period between 1 October and 15 th October is very tight. If no application is received by the 15 th they will be trading illegally until their application is processed. It can take 3 weeks for a DBS basic disclosure to be processed which are needed as part of their application.	4	D	Delegation of the Act by Council on 5 September 2013 to the Licensing Committee. Report to Licensing Committee in 19 September 2013 setting fees and procedures. Writing to all existing traders advising of the timescales and process. Information on website	2	B
Setting of fees to allow applications to be made within the above timescale	Existing operators would be unable to trade legally and the Council would be failing in it's statutory duty	4	C	As above	1	A
Risk that the fees can be challenged under the EU Services Directive	Risk of costs and reputation of the Council	2	C	Fees calculated and published and at the lower end of benchmarked fees, therefore unlikely such a challenge would be successful	1	A

Annex A

Score	Likelihood	Score	Impact
1	Very Low	A	Low
2	Not Likely	B	Minor
3	Likely	C	Medium
4	Very Likely	D	Major
5	Almost Certain	E	Disaster